

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6 : C07H 21/00		A2	(11) International Publication Number: WO 98/49182 (43) International Publication Date: 5 November 1998 (05.11.98)
(21) International Application Number: PCT/US98/08810 (22) International Filing Date: 1 May 1998 (01.05.98) (30) Priority Data: 08/846,879 1 May 1997 (01.05.97) US		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG). Published <i>Without international search report and to be republished upon receipt of that report.</i>	
(71) Applicant: HYBRIDON, INC. [US/US]; 620 Memorial Drive, Cambridge, MA 02139 (US). (72) Inventor: AGRAWAL, Sudhir; 61 Lamplighter Drive, Shrewsbury, MA 01545 (US). (74) Agents: KEOWN, Wayne, A. et al.; Hale and Dorr LLP, 60 State Street, Boston, MA 02109 (US).			
(54) Title: HYPERSTRUCTURE-FORMING CARRIERS			
(57) Abstract Disclosed are bioactive substances useful for the delivery of effector units in animals. Also disclosed are methods for utilizing the bioactive substances of the invention.			

BEST AVAILABLE COPY

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Larvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

**HYPERSTRUCTURE - FORMING
CARRIERS**

BACKGROUND OF THE INVENTION

Field of the Invention

This invention relates to the delivery of bioactive substances. In particular, the invention relates to the 5 delivery of effector units in animals, and to the modulation of their release.

Summary of the Related Art

Drugs are compounds almost always foreign to the body. The processes of inputting, distributing and eliminating drugs 10 are therefore of paramount importance in determining the onset, duration and intensity of drug effect. Hence, in the context of drug development, the ability to modulate the rate at which a particular drug becomes systemically available, and to direct its bioavailability to a particular tissue are often 15 of crucial significance. Pharmakokinetic, pharmacological and toxicological constraints create the need to modulate drug delivery to minimize side effects or to optimize drug efficacy.

Drug delivery involves both drug absorption (the process 20 of movement from the site of administration toward systemic circulation) and drug distribution or bioavailability (the process by which a drug becomes available at the site of action. Direct placement of a drug into the bloodstream (usually i.v.) ensures complete delivery of the dose to the 25 general circulation only. Because drug distribution to other

tissues is often delayed, direct administration may result in the accumulation of high plasma concentrations of the drug administered immediately postinfusion. Notably, high plasma concentrations (beyond the therapeutic window for a particular drug regimen) often cause a wide variety of toxic drug reactions which are dose-related. In addition, for many drugs and/or metabolites, distribution to the active site or tissue necessitate movement across biological barriers, for example via passive diffusion, facilitated diffusion or pinocytosis.

5 For most drugs, distribution is not limited to the desired site (i.e., the site of action) thereby reducing their efficacy and increasing the chances of undesirable side effects.

10

15 Therefore, there is a need to develop delivery systems and methods suitable to control drug release following administration and to direct drug diffusion through biological barriers in a selective fashion. Gene therapy, and more specifically antisense therapy, is emblematic of a promising pharmacological approach for which there is a pressing need to control drug release and direct drug delivery to particular 20 sites of action.

25 The potential for using oligonucleotides as inhibitors of specific gene expression in an antisense therapeutic approach was first suggested in the late '70s. (Paterson et al., Proc. Natl. Acad. Sci. USA 74: 4370-4374 (1977); Zamecnik and Stephenson, Proc. Natl. Acad. Sci. USA 75: 280-284 and 285-288 (1978)). To date, the ability of antisense oligonucleotides to inhibit virus propagation has become firmly established. (See e.g., Agrawal, Trends in Biotechnology 10: 152-158 30 (1992). Antisense oligonucleotides have also been developed as anti-parasitic agents. (See e.g., PCT publication

no. WO93/13740; Tao et al., *Antisense Research and Development* 5: 123-129 (1995)). More recently, antisense oligonucleotides have shown promise as candidates for therapeutic applications for diseases resulting from expression of cellular genes.

5 (See e.g., PCT publication no. WO95/09236, PCT publication no. WO94/26887, and PCT application no. PCT/US94/13685). The development of various antisense oligonucleotides as therapeutic and diagnostic agents has recently been reviewed by Agrawal and Iyer, *Current Opinion in Biotechnology* 6: 12-19

10 (1995).

Much is currently being discovered about the pharmacodynamic properties of oligonucleotides. Agrawal et al., *Clinical Pharmacokinetics* 28: 7-16 (1995) and Zhang et al., *Clinical Pharmacology and Therapeutics* 58: 44-53 (1995).

15 Some of these new studies have led to new challenges to be overcome for the optimization of oligonucleotides as therapeutic agents. Such optimization should include elimination or reduction of cardiovascular side effects and *in vivo* instability problems. Henry et al., *Pharm. Res.* 11: PPDM8082 (1994) discloses that oligonucleotides may potentially interfere with blood clotting. Cardiovascular side effects are believed to stem from high plasma concentrations of oligonucleotides which have been observed immediately postinfusion. Effective *in vivo* stability of oligonucleotides is affected by both their degradation and their elimination. With respect to *in vivo* stability of oligonucleotides, it has been shown that following intravenous administration to mice, rats or monkeys, oligonucleotides are degraded mainly from the 3'- end. (Temsamani et al.,

20 *Antisense and Nucleic Acid Drug Development* (in press)).

25 Notably, because of instability considerations, higher dosages

of oligonucleotides are necessary. Gailbraith et al., Antisense Research and Development 4:201-206 (1994) discloses complement activation and depletion by phosphorothioate oligonucleotides. Recently, several studies on elimination of 5 oligonucleotides have been published. Agrawal et al., Proc. Natl. Acad. Sci. (USA) 88: 7595-7599 (1991) describes the intravenous and intraperitoneal administration of a 20mer phosphorothioate linked-oligonucleotide to mice. In this study, approximately 30% of the administered dose was excreted 10 in the urine over the first 24 hours with accumulation preferentially in the liver and kidney. Plasma half-lives ranged from about 1 hour ($t_{1/2\alpha}$) and 40 hours ($t_{1/2\beta}$), respectively. Similar results have been reported in 15 subsequent studies (Iversen, Anti-Cancer Drug Design 6:531-538 (1991); Iversen, Antisense Res. Devel. 4:43-52 (1994); and Sands, Mol. Pharm. 45:932-943 (1994)).

Dehydration and elimination of many other drugs may also 20 affect their efficacy and therapeutic window. Therefore, there remains a need to develop more effective therapeutic methods for modulating the release and direct the delivery of drugs which can be easily manipulated to fit the animal and condition to be treated while producing fewer side effects.

SUMMARY OF THE INVENTION

This invention relates to bioactive substances with enhanced pharmakokinetic properties and to their use in biomedical applications. In particular, the invention relates 5 to substances including carriers for the delivery of effector units in animals, and for the modulation of the release of the same. The present inventor has discovered that bioreversible association of a bioactive substance with a hyperstructure forming unit allows modulated release and directed delivery of 10 the bioactive substance. Thus, the invention provides compounds and methods for modulating the release and direct the delivery of drugs which can be easily manipulated to fit the animal and condition to be treated while producing fewer side effects.

15 In a first aspect, the invention provides a bioactive substance including a hyperstructure forming unit and an effector unit, wherein there is an operable association between the hyperstructure forming unit and the effector unit.

20 In a preferred embodiment, the bioactive substance is an oligonucleotide including the bioreversibly attached nucleic acid sequence GGGG or GTGT capable of aggregating with other oligonucleotides in such a way as to assemble hyperstructures of two or more oligonucleotides.

25 In a second aspect, the invention provides methods for delivering the effector units of this invention. In a preferred embodiment of this aspect, the invention provides a method for delivering an effector unit in an animal, including a human, comprising administering to the animal a therapeutically effective amount of a bioactive substance

according to the present invention, for a therapeutically effective period of time.

In a third aspect, the invention provides a method for investigating the biochemical and biophysical roles of particular genes. In the method according to this aspect of the invention, a bioactive substance with a nucleic acid sequence complementary to a target sequence of interest, is introduced in the cell type of interest. The bioactive substance of this invention can be administered at different points in the cell cycle, or in conjunction with promoters or inhibitors of cell growth to determine the role of the target sequence in the growth of the cell type of interest.

15

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1A is a diagrammatic representation of an example of one embodiment of a bioactive substance according to the present invention. The figure shows a hyperstructure forming unit (HFU) in operable association with an effector unit.

Figure 1B is a diagrammatic representation of examples of some additional bioactive substances according to the present invention. The figure shows representative HFUs each in operable association with some representative, effector units.

Figure 2A is a diagrammatic representation of a preferred embodiment of the invention showing the ability of HFUs to form hyperstructures comprising more than one bioactive substance. The figure also shows the disassembly of the hyperstructure and the subsequent release of the bioactive substance.

Figure 2B is a diagrammatic representation of another preferred embodiment of the invention in which the HFU and the effector unit are in indirect operable association.

Figure 3 is a diagrammatic representation showing the plasma concentration-time course for a preferred embodiment of the invention in which the HFU and the effector unit are an oligonucleotide. The results are shown as oligonucleotide equivalents after i.v. bolus administration of radiolabeled oligonucleotides into rats, based on quantification of radioactivity.

Figure 4 is a diagrammatic representation showing concentration-time courses in muscle tissue for a preferred embodiment of the invention in which the HFU and the effector unit are an oligonucleotide. The results are shown as

oligonucleotide equivalents after i.v. bolus administration of radiolabeled oligonucleotides into rats, based on quantification of radioactivity.

5 Figure 5 is a diagrammatic representation showing concentration-time courses in lung tissue for a preferred embodiment of the invention in which the HFU and the effector unit are an oligonucleotide. The results are shown as oligonucleotide equivalents after i.v. bolus administration of radiolabeled oligonucleotides into rats, based on 10 quantification of radioactivity.

15 Figure 6 is a diagrammatic representation showing concentration-time courses in hepatic tissue for a preferred embodiment of the invention in which the HFU and the effector unit are an oligonucleotide. The results are shown as oligonucleotide equivalents after i.v. bolus administration of radiolabeled oligonucleotides into rats, based on 20 quantification of radioactivity.

25 Figure 7 is a diagrammatic representation showing concentration-time courses in kidney for a preferred embodiment of the invention in which the HFU and the effector unit are an oligonucleotide. The results are shown as oligonucleotide equivalents after i.v. bolus administration of radiolabeled oligonucleotides into rats, based on 30 quantification of radioactivity.

30 Figure 8 is a diagrammatic representation showing concentration-time courses in spleen tissue for a preferred embodiment of the invention in which the HFU and the effector unit are an oligonucleotide. The results are shown as oligonucleotide equivalents after i.v. bolus administration of radiolabeled

oligonucleotides into rats, based on quantification of radioactivity.

5 Figure 9 shows the cumulative excretion of urinary radioactivity over a 48-hour period postinfusion of the radiolabeled bioactive substance according to the present invention.

10 Figure 10 shows the cumulative excretion of fecal radioactivity over a 48-hour period postinfusion of the radiolabeled bioactive substance according to the present invention.

Figure 11 shows the cumulative excretion of urinary and fecal radioactivity over a 48-hour period postinfusion of radiolabeled Oligonucleotides HYB0411, HYB0412, and HYB0413.

15 Figure 12 shows time-dependent degradation of Oligonucleotide HYB0411 as found in kidney tissue.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

This invention relates to substances for the delivery of effector units in animals. In addition, the invention provides methods for using such bioactive substances as analytical diagnostic tools, as potentiators of transgenic plant and animal studies and gene therapy approaches, and as potential therapeutic agents. The present inventor has discovered that bioreversible association of a bioactive substance with a hyperstructure forming unit allows modulated release and directed delivery of the bioactive substance. Thus, the invention provides compounds and methods for modulating the release and directing the delivery of drugs which can be easily manipulated to fit the condition to be treated while producing fewer side effects. The patents and scientific literature referred to herein establish the knowledge of those with skill in the art and are hereby incorporated by reference in their entirety.

In a first aspect the invention provides a bioactive substance including a hyperstructure forming unit, an effector unit and an operable association between the hyperstructure forming unit and the effector unit.

The term "bioactive substance", as used herein, denotes a biochemical moiety suitable as a means for the delivery of effector units in an animal including a human. The bioactive substance of this invention comprises at least a hyperstructure forming unit, an effector unit, and an operable association between the hyperstructure forming unit and the effector unit.

For therapeutic use, the bioactive substance according to the invention may optionally be formulated with any of the

well known pharmaceutically acceptable carriers or diluents. This formulation may further contain one or more additional effector or it may contain any other pharmacologically active agent.

5 For purposes of the invention "hyperstructure forming unit" (also designated as HFU) refers to a biochemical moiety capable of associating with one or more additional hyperstructure forming units to establish a biolabile hyperstructure. Preferably, the hyperstructure forming unit 10 is an oligonucleotide acid sequence.

In a preferred embodiment, the bioactive substance is an oligonucleotide including the sequence GGGG or GTGT capable of aggregating with other oligonucleotides in such a way as to assemble hyperstructures of two or more oligonucleotides.

15 In one preferred embodiment, the hyperstructure forming unit comprises an oligonucleotide sequence GTGT. In another preferred embodiment, the hyperstructure forming unit comprises an oligonucleotide sequence, GGGG. Guanosine clusters form an exceptionally stable parallel-stranded 20 tetramer in which four layers of guanosine quartets are ordered in a helical array. (Sen and Gilbert, *Nature* 334:364-366 (1988)). Clusters of guanosine residues have been found in the telomeres of most eukaryotes. (Guschlbauer et al., *J. Biomol. Struct. Dyn.* 8:491-511 (1990)). Groups of four 25 guanosine residues or guanosine quartets have been postulated to play a role in the integrity of chromosomal telomeres. (see e.g., Henderson et al., *Cell* 51:899-908 (1987); Williamson et al., *Cell* 59:871-880 (1989); Sandquist and Klug, *Nature* 342:825-829 (1989)). In each quartet each base is believed to 30 be both the donor and the acceptor of two hydrogen bonds with

its neighbor residue. Several guanosine quartets in turn may stack upon each other to form quadruple-helical structures with a guanosine quartet core which function to preserve the integrity of chromosomal telomeres. (Williamson, Current 5 Opinion in Structural Biology 3:357-362 (1993)). In a preferred embodiment, the GTGT or GGGG nucleoside quartets have exclusively phosphodiester internucleoside linkages.

In another preferred embodiment, one HFU comprises an oligonucleotide sequence, preferably of from about 6 to about 10 10 nucleotides. A second HFU comprises another oligonucleotide sequence complementary in a Watson-Crick sense to the first HFU, and a third HFU comprises another oligonucleotide sequence complementary in a Hoogstein sense to the first or second HFU. The hyperstructure formed by this 15 embodiment is a triple helix. In a preferred embodiment, the GTGT or GGGG nucleoside quartets have exclusively phosphodiester internucleoside linkages.

In one preferred embodiment, the bioactive substance includes a first and a second hyperstructure forming unit.

As used herein, the term "effector unit" denotes an active moiety capable of altering a biochemical state. The term effector unit therefore includes, without limitations, 20 drugs or metabolites. Examples of such effector units include any substance used in the prevention, diagnosis, alleviation, treatment or cure of a pathological state. The term also 25 includes any substance used to alter physical functions, systems or organs of the animal treated.

In one embodiment, the effector unit may include at least 30 a nucleotide. The term nucleotide is used to denote a deoxyribonucleoside, a ribonucleoside, or a 2'-O-substituted

ribonucleoside residue. In another embodiment, the effector unit comprises a nucleotidic portion. For purposes of the invention, the term "nucleotidic portion" includes polymers of two or more deoxyribonucleosides, ribonucleosides, or 2'-O-substituted ribonucleoside residues, or any combination thereof. Preferably, such nucleotidic portions have from about 8 to about 100 nucleoside residues, and most preferably from about 12 to about 30 nucleoside residues. The nucleotidic portion of the effector unit according to this embodiment may be partially or fully complementary to a target nucleic acid sequence. For purposes of the invention, "complementary" means having the ability to hybridize to a genomic region, a gene, or an RNA transcript thereof under physiological conditions. Such hybridization is ordinarily the result of base-specific hydrogen bonding between complementary strands, preferably to form Watson-Crick or Hoogsteen base pairs, although other modes of hydrogen bonding, as well as base stacking can also lead to hybridization. As a practical matter, such hybridization can be inferred from the observation of the modulation of a specific gene expression. Alternatively, the nucleotide portion may comprise a ribozyme, an antisense oligonucleotide, an aptamer or a cytokine-inducing sequence, such as an unmethylated CpG sequence. The term "aptamer" designates a molecule whose activity depends on its tertiary structure.

The nucleosides of the nucleotidic portions are preferably stabilized by having nucleosides coupled to each other by any of the numerous known non-phosphodiester internucleoside linkages. Such internucleoside linkages include without limitation phosphorothioate, phosphorodithioate, alkylphosphonate, alkylphosphonothioate,

phosphotriester, phosphoramidate, siloxane, carbonate, carboxymethylester, acetamide, carbamate, thioether, bridged phosphoramidate, bridged methylene phosphonate, bridged phosphorothioate and sulfone internucleotide linkages. In 5 certain preferred embodiments, these internucleoside linkages may be phosphotriester, phosphorothioate, or phosphoramidate linkages, or combinations thereof. The term nucleotidic portion also encompasses such polymers having chemically modified bases or sugars and/or having additional 10 substituents, including without limitation lipophilic groups, intercalating agents, diamines and adamantane. For purposes of the invention the term "2'-O-substituted" means substitution of the 2' position of the pentose moiety with an -O-lower alkyl group containing 1-6 saturated or unsaturated 15 carbon atoms, or with an -O-aryl or allyl group having 2-6 carbon atoms, wherein such alkyl, aryl or allyl group may be unsubstituted or may be substituted, e.g., with halo, hydroxy, trifluoromethyl, cyano, nitro, acyl, acyloxy, alkoxy, carboxyl, carbalkoxyl, or amino groups; or such 2' 20 substitution may be with a hydroxy group (to produce a ribonucleoside), an amino or a halo group, but not with a 2'-H group.

The nucleotidic portion of the effector unit according to another embodiment could be chimeric, or hybrid, or chimeric 25 and hybrid. The term "chimeric" designates a nucleic acid moiety attached via more than one type of internucleoside linkage. One preferred embodiment of such a chimeric nucleotidic portion comprises a phosphorothioate, phosphodiester or phosphodithioate region, preferably comprising from about 2 to about 12 nucleotides, and an 30 alkylphosphonate or alkylphosphonothioate region. Preferably,

such chimeric nucleotidic portions contain at least three consecutive internucleoside linkages selected from phosphodiester and phosphorothioate linkages, or combinations thereof.

5 For purposes of the invention, "hybrid" refers to an nucleotidic portion having more than one type of nucleoside. One preferred embodiment comprises a ribonucleotide or 2'-O- substituted ribonucleotide region, preferably comprising from about 2 to about 12 2'-O-substituted nucleotides, and a 10 deoxyribonucleotide region. Preferably, such a hybrid nucleotidic portion will contain at least three consecutive deoxyribonucleosides and will also contain ribonucleosides, 2'-O-substituted ribonucleosides, or combinations thereof.

15 Nucleotidic portions according to the invention may conveniently be synthesized on a suitable solid support using well known chemical approaches, including H-phosphonate chemistry, phosphoramidite chemistry, or a combination of H- phosphonate chemistry and phosphoramidite chemistry (i.e., H- phosphonate chemistry for some cycles and phosphoramidite 20 chemistry for other cycles). Suitable solid supports include any of the standard solid supports used for solid phase oligonucleotide synthesis, such as controlled-pore glass (CPG). (See, e.g., Pon, *Methods in Molec. Biol.* 20: 465 (1993)).

25 Bioactive substances comprising an effector unit, including a nucleotidic portion according to the invention, are useful for a variety of purposes. For example, they can be used as "probes" of the physiological function of a particular target sequence in an experimental cell culture or 30 animal system, and to evaluate the effect of inhibiting such

gene activity. This is accomplished by administering to a cell or to an animal a bioactive substance according to the invention and observing any phenotypic effects. In this use, nucleotidic portions of the effector unit according to the invention are preferable to traditional "gene knockout" approaches because they are easier to use and can be used to inhibit a target sequence activity at selected stages of development or differentiation. Thus, effector units according to the invention can serve as probes to test the role of a particular target gene sequence in various stages of development.

Finally, effector units containing nucleotidic portions according to the invention, are useful in therapeutic approaches to benign and malignant tumors and other human diseases involving suppression of gene expression.

In yet another embodiment, the effector unit includes an amino acid. For purposes of this invention, the term "amino acid" is used to denote an organic acid in which one of the hydrogen atoms or a carbon atom has been replaced by the radical $-NH_2$. In one preferred embodiment the amino acid is an aminocarboxylic acid. In one particularly preferred embodiment, the effector unit is a peptide, most preferably comprising from about 3 to about 20 amino acids. In another embodiment, the effector unit is a tissue specific peptide including a tissue specific receptor binding peptide.

In another embodiment, the effector unit includes a lipid. For purposes of the invention, the term "lipid" is used to denote biological amphiphiles including fatty acids, acylglycerols, phosphoglycerides, sphingolipids, aliphatic

alcohols, waxes, steroids, and any combination with other classes of compounds, such as protein or carbohydrate.

In yet another embodiment the effector unit includes a small molecule drug. The term "small molecule drug" is used 5 to denote traditional pharmaceutical compounds (see e.g., Physician's Desk Reference, Medical Economics Company, Montvale, NJ (1996)).

For purposes of the invention "operable association" is used to denote a bioreversible association between the 10 hyperstructure forming unit and the effector unit such that the two are brought in proximity of each other. (See Figure 1). Such association may be direct or indirect (e.g., involving additional units). In an additional embodiment, the effector unit is in operable association with two or more 15 effector units. (Figures 2A and 2B).

The operable association according to the present invention is bioreversible. Bioreversible, as used herein, denotes that the mammal has the ability to weaken or terminate the operable association such as to release the effector unit. 20 As a practical matter, bioreversal of the operable association can be inferred from the observation of the specific effects attributable to the activity of the effector unit. Bioreversal can therefore be obtained by a suitable agent 25 capable for example of cleaving the operable association of the particular embodiment. The latter depends on the biochemical nature of the operable association. Preferred examples of bioreversible operable association include, without limitation, ester linkages, which are cleaved by esterases, amide linkages, which are cleaved by amidases and 30 disulfide linkages, which are cleaved by reduction of the

disulfide bond. For example, in a preferred embodiment where the operable association is a phosphodiester bond between a nucleotide of the nucleotidic effector unit and a nucleotide of the hyperstructure forming unit, bioreversal may be 5 achieved using an endonuclease. Alternatively, in such an embodiment, the HFU may contain exclusively phosphodiester bonds and be located at an end of the effector unit, thereby allowing its removal by an exonuclease. Particularly preferred operative associations are provided by an amide, 10 disulfide or ester bond between an oligonucleotide HFU and a nucleotidic effector unit which has been stabilized as described previously, particularly wherein the HFU is located at either or both ends of the nucleotide effector unit.

In a second aspect, the invention provides methods for 15 delivering the bioactive substance of this invention to a specific tissue. In an embodiment of this aspect, the invention provides a method for delivering an effector unit in an animal, including a human, comprising administering to the animal a therapeutically effective amount of a bioactive 20 substance according to the present invention, for a therapeutically effective period of time. In the method according to this aspect of the invention, the bioactive substance may include a modulator unit.

The terms "delivery", "deliver" or "delivering" as used 25 herein, includes both absorption, i.e., the process of movement from the site of administration toward systemic circulation, and distribution or bioavailability, i.e., the process by which a bioactive substance becomes available at the specific site(s) of action.

5 The terms "therapeutically effective amount" and "therapeutically effective period of time" are used to denote known treatments at dosages and for periods of time effective to achieve the therapeutic result sought. In a particularly preferred embodiment, control release of the effector unit is obtained by administering the bioactive substance according to this aspect of the invention by i.v., intramuscular or subcutaneous administration.

10 According to another embodiment, one or more bioactive substances of the invention may be administered to an animal either sequentially or simultaneously in a therapeutically effective amount and for a therapeutically effective period of time.

15 In a third aspect, the invention provides a method for investigating the biochemical and biophysical properties of specific sequences under tight regulation. In the method according to this aspect of the invention, the effector unit of the bioactive substance according to the invention, including a target sequence of interest, is introduced in the 20 cell type of interest. The bioactive substance can be administered at different points in the cell cycle, or in conjunction with promoters or inhibitors of cell growth to determine the role of the target sequence in the growth of the cell type of interest.

25 The following examples are intended to further illustrate certain preferred embodiments of the invention and are not limiting in nature. Those skilled in the art will recognize, or be able to ascertain, using no more than routine experimentation, numerous equivalents to the specific 30 substances and procedures described herein. Such equivalents

are considered to be within the scope of this invention, and are covered by the following claims.

Example 1

5 Bioactive Substance Preparation

To carry out *in vivo* pharmacokinetic studies of the bioactive substance of this invention, three PS-oligonucleotides were synthesized. All three PS-oligonucleotides have a common 25-mer PS-oligonucleotide sequence; the difference is in four additional nucleotides. 10 Oligonucleotide HYB0411 (having the sequence 5'-CTCTCGCACCCATCTCTCCTCTGGGG-3' (SEQ ID NO:1)) contains four contiguous guanosines, -GGGG- at the 3'-end; oligonucleotide H4B0412 (having the sequence 15 5'-CTCTCGCACCCATCTCTCCTCTGTGT-3' (SEQ ID NO:2)) contains -GTGT- at the 3'-end; oligonucleotide H4B0413 (having the sequence 20 5'-CTCTCGCACCCATCTCTCCTTCT-3' (SEQ ID NO:3)) contains only the common 25-mer PS-oligonucleotide sequence. Oligonucleotide HYB0413 is a control sequence and does not contain contiguous guanosines.

Synthesis of the three PS-oligonucleotides was carried out using standard phosphoramidites chemistry on a 10 μ M scale. Oligonucleotides were purified by reversed phase high performance liquid chromatography (Agrawal, *Trends in Biotech.*, 25 14:376-387 (1996)).

Example 2Bioactive Substance Administration

To carry out pharmacokinetic studies, [³⁵S]-labeled PS-oligonucleotides were synthesized using phosphoramidite chemistry; the intermediate phosphite linkage was oxidized with [³⁵S₈] to incorporate [³⁵S]. (Agrawal, et al., Synthetic Methods for the Radioisotopic Labeling of Oligonucleotides, in: Antisense Oligonucleotide from Technology to Therapy (Schlingensiepen, ed.) Blackwell, Berlin, pp. 60-77 (1996)). [³⁵S] was incorporated at the fifth (oligonucleotide HYB0411) or fourth (oligonucleotide HYB0412 and HYB0413) internucleotide linkage.

A dose of 10 mg/kg of [³⁵S]-labeled PS-oligonucleotide was administered intravenously (i.v.) to rats (Wistar rats from Charles River, Wilmington, MA). Following administration, plasma was collected after 5, 15 and 30 minutes and after 1, 3, 6, 12, 24 and 48 hours. Radioactivity levels were measured to monitor plasma clearance at each time point. Following administration of PS-oligonucleotide, animals (3 rats/group) were sacrificed at 12, 24 and 48 hours. The following tissues were removed and homogenized: muscle, lung, liver, kidney, and spleen. Radioactive levels were measured, to monitor tissue distribution of each of the oligonucleotides. To follow the excretion of PS-oligonucleotides, urine and feces were collected using metabolism cages at 0-3, 3-6, 6-12, 12-24 and 24-48 hour intervals and radioactivity levels were measured using the methods previously reported (Zhang, et al., *Biochem. Pharmacol.*, 49: 929-939 (1995)).

Figure 3 illustrates the plasma concentration-time courses of oligonucleotide equivalents after i.v. bolus

administration of radiolabeled oligonucleotides into rats, based on quantification of radioactivity. As shown in previous studies (Agrawal, et al., *Clin. Pharm.* **28**:7-16 (1995); Zhang, et al., *Biochem. Pharmacol.*, **49**: 929-939 (1995)), PS-oligonucleotides rapidly clear from the plasma. Pharmacokinetic analysis revealed that plasma disappearance curves for oligonucleotide-derived radioactivity could be described by the sum of two exponentials, with a short distribution half-life and a long elimination half-life.

5 Oligonucleotides HYB0411 and HYB0412 have significantly higher maximum plasma concentrations (C_{max}) than Oligonucleotide HYB0413. Oligonucleotide HYB0411 displayed a shorter distribution half-life ($t_{1/2}\alpha$) than did Oligonucleotide HYB0412 and Oligonucleotide HYB0413. No significant difference in

10 plasma elimination half-life ($t_{1/2}\beta$) was observed. These results suggest that modification of PS-oligonucleotides with contiguous guanosines significantly affects plasma clearance

15 of the molecules.

20

Example 3Bioactive Substance Distribution Analysis

The oligonucleotide-equivalent concentrations of radioactivity in tissues after i.v. bolus administration of radiolabeled oligonucleotides to rats are shown in Figures. 4-8. As with other PS-oligonucleotides (Agrawal, et al., *Clin. Pharm.* **28**:7-16 (1995); Zhang, et al., *Biochem. Pharmacol.*, **49**: 929-939 (1995); Cossom, et al., *J. Pharm. Exp. Ther.*, (USA) **267**:1181-1190 (1993)), the three oligonucleotides had a wide tissue distribution. Significant differences in distribution pattern were found among the three oligonucleotides. In

general, Oligonucleotide HYB0411 and Oligonucleotide HYB0412 had similar tissue concentrations in the tissues examined; concentrations of Oligonucleotide HYB0413 were different from those of Oligonucleotide HYB0411 and Oligonucleotide HYB0412. 5 Oligonucleotide HYB0411 had the highest kidney concentration: 40% higher than that of Oligonucleotides HYB0412 and HYB0413. Oligonucleotides HYB0411 and HYB0412 were present at approximately 50% higher concentrations in the liver than was Oligonucleotide HYB0413. Oligonucleotides HYB0411 and HYB0412 were present at 30% to 50% higher concentrations in the spleen than was Oligonucleotide HYB0413. Oligonucleotide HYB0413 was present in bone marrow at higher concentrations than Oligonucleotide HYB0411 and Oligonucleotide HYB0412 at 12 hours after dosing (data not shown). In the lung, however, 10 15 Oligonucleotide HYB0413 was present in significantly higher concentrations than Oligonucleotide HYB0411 and Oligonucleotide HYB0412.

Figures. 9 and 10 show the cumulative excretion of urinary and fecal radioactivity over 48 hours following 20 administration of radiolabeled oligonucleotides. Urinary excretion represented the major pathway of elimination. Rapid excretion of radioactivity was observed for the first 24 hours following administration (Figures 9-10). Oligonucleotide HYB0411 had the lowest urinary excretion rate and 25 Oligonucleotide HYB0413 had the highest urinary excretion rate. Fecal excretion was a minor pathway of elimination (Figure 11); Oligonucleotide HYB0413 had the highest fecal excretion rate.

The chemical forms of radioactivity in tissues were 30 examined by polyacrylamide gel electrophoresis following 5'-post labeling using [³²P]-ATP and T4 polynucleotide kinase

(Agrawal, et al., *Clin. Pharm.* **28**:7-16 (1995)). As illustrated in Figure 12, time-dependent degradation of Oligonucleotide HYB0411 was found in the kidney, and a ladder of degradation products were generated. Oligonucleotides HYB0412 showed 5 superior *in vivo* stability and thus greater absorption than Oligonucleotide HYB0411 due to its ability to form hyperstructures involving contiguous guanosines at the 3'-end.

These experiments show that the bioactive substances according to the present invention have increased *in vivo* 10 stability, faster plasma clearance, protracted release, and more uniform tissue distribution than the control oligonucleotide counterparts.

1. What is claimed is:

A bioactive substance comprising:

(a) a hyperstructure forming unit;

(b) an effector unit; and

5 (c) an operable association between the hyperstructure forming unit and the effector unit.

2. The bioactive substance of claim 1, wherein the hyperstructure forming unit comprises the sequence GGGG.

3. The bioactive substance of claim 1, wherein the hyperstructure forming unit comprises the sequence GTGT.

10 4. The bioactive substance of claim 1, wherein the effector unit comprises a nucleotide.

5. The bioactive substance of claim 4, wherein the effector unit comprises a nucleotidic portion from about 8 to 15 about 100 nucleotides.

6. The bioactive substance of claim 4, wherein the effector unit comprises a nucleotidic portion from about 12 to about 30 nucleotides.

7. The bioactive substance of claim 5, wherein the nucleotidic portion of the effector unit has at least one internucleotide linkage selected from the group consisting of phosphorothioate, phosphorodithioate, alkylphosphonate, alkylphosphonothioate, phosphotriester, phosphoramidate, siloxane, carbonate, carboxymethylester, acetamide, carbamate, thioether, bridged phosphoramidate, bridged methylene phosphonate, bridged phosphorothioate, and sulfone internucleotide linkages.
5
- 10 8. The bioactive substance of claim 5, wherein the nucleotidic portion of the effector unit is chimeric or hybrid, or chimeric and hybrid.
- 15 9. The bioactive substance of claim 8, wherein the nucleotidic portion of the effector unit comprises a ribonucleotide or 2'-O-substituted ribonucleotide region and a deoxyribonucleotide region.
10. The bioactive substance of claim 1, wherein the effector unit comprises an amino acid.
- 20 11. The bioactive substance of claim 1, wherein the effector unit comprises a lipid.
25 12. The bioactive substance of claim 1, wherein the effector unit comprises a small molecule.
13. The bioactive substance of claim 1, wherein the effector unit is in operable association with two or more effector units.
25

14. The bioactive substance of claim 1, wherein the operable association is bioreversible.
15. The bioactive substance of claim 14, wherein the bioreversible operable association comprises an amide, an ester, or a disulfide bond.

5

1/14

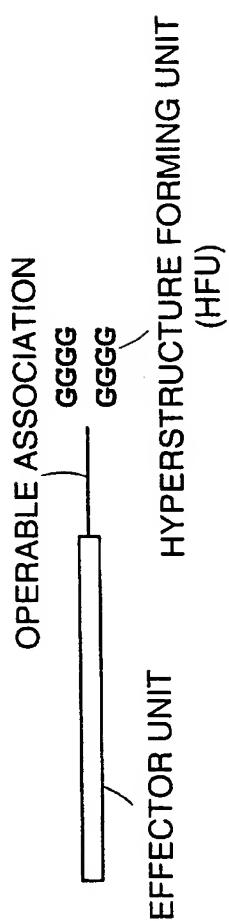


FIG. 1A

2/14

FIG. 1B

3/14

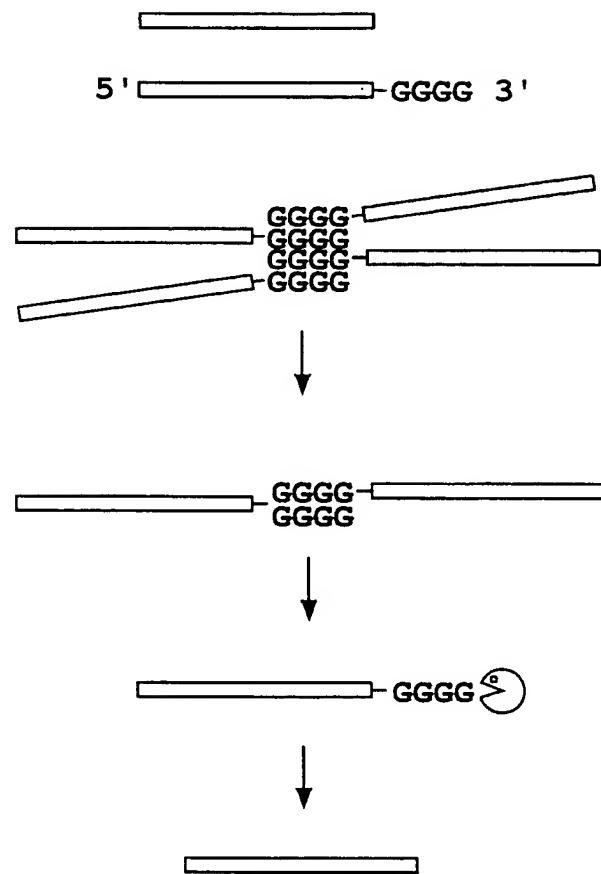


FIG. 2A

4/14

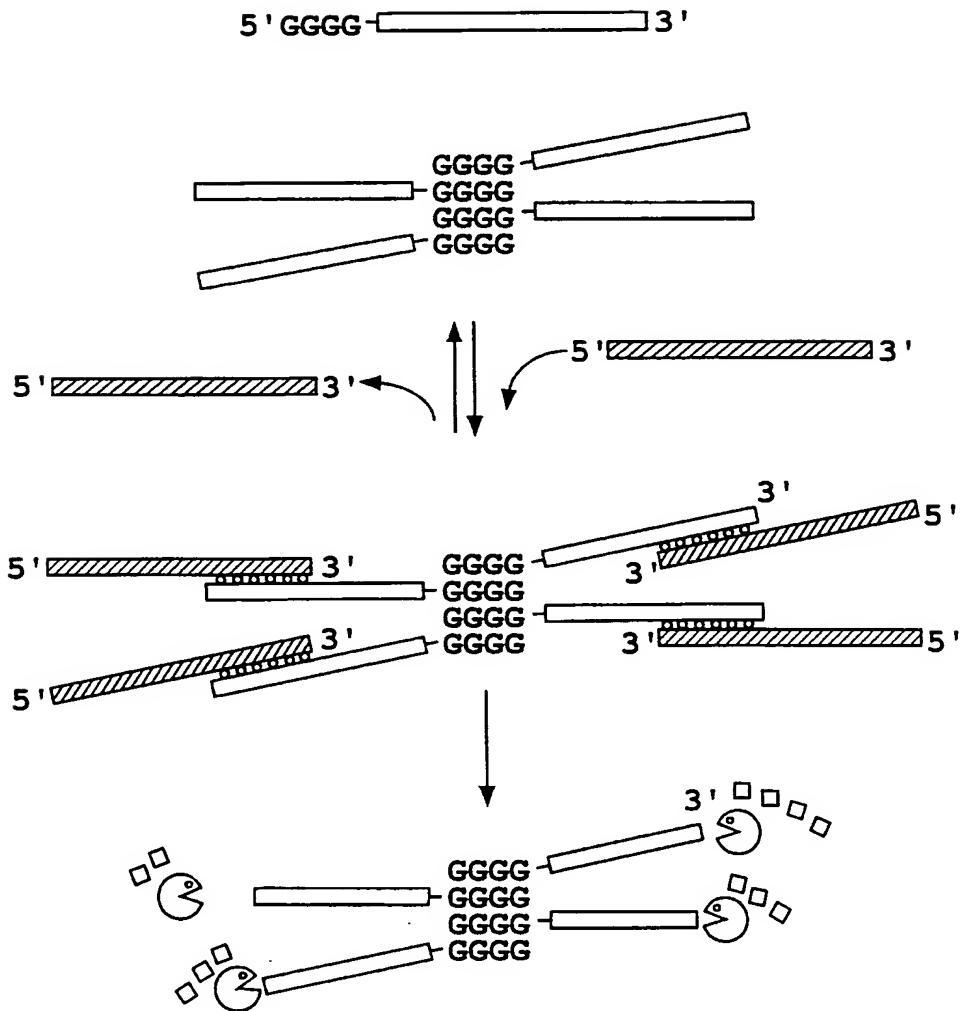
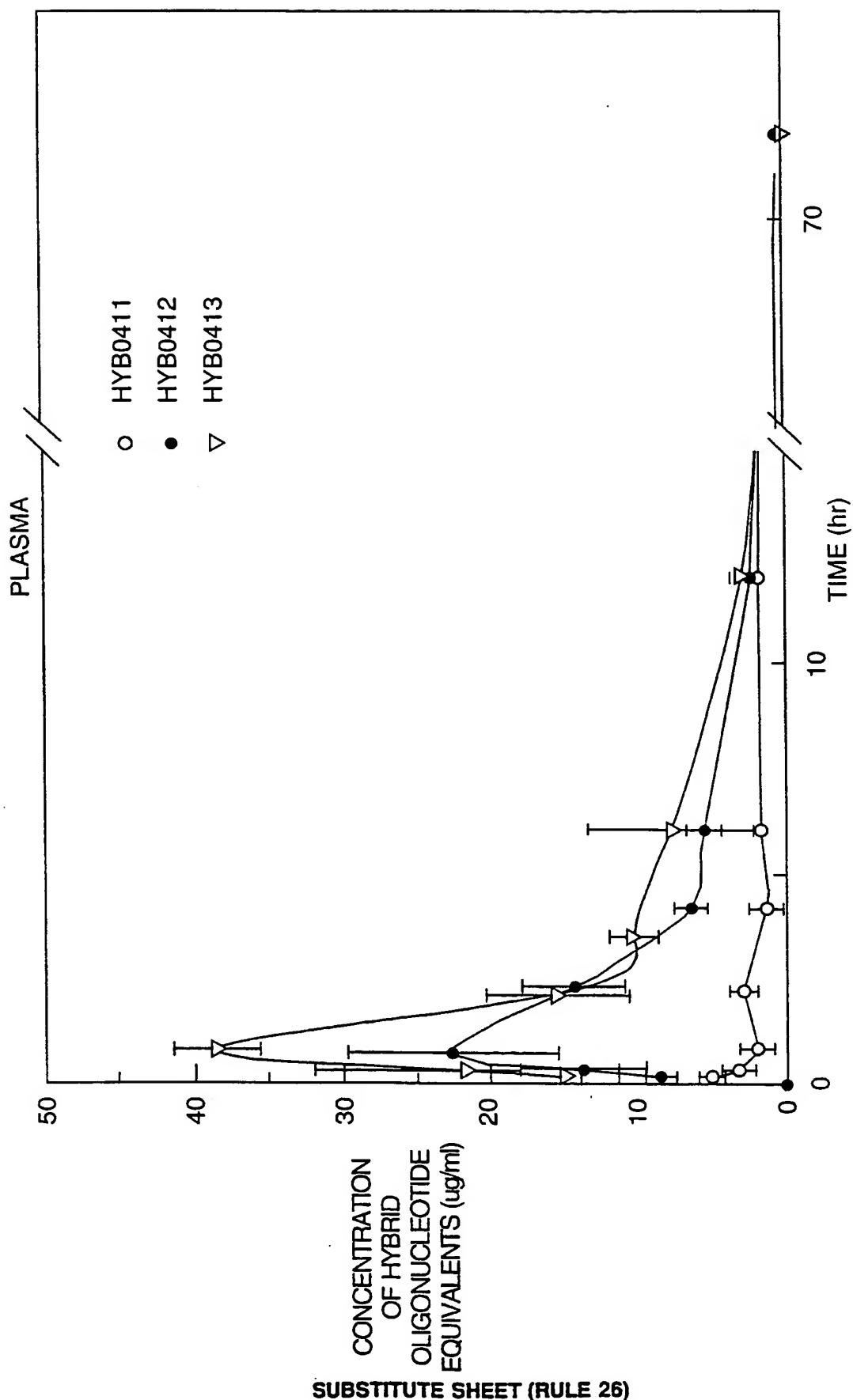


FIG. 2B



6/14

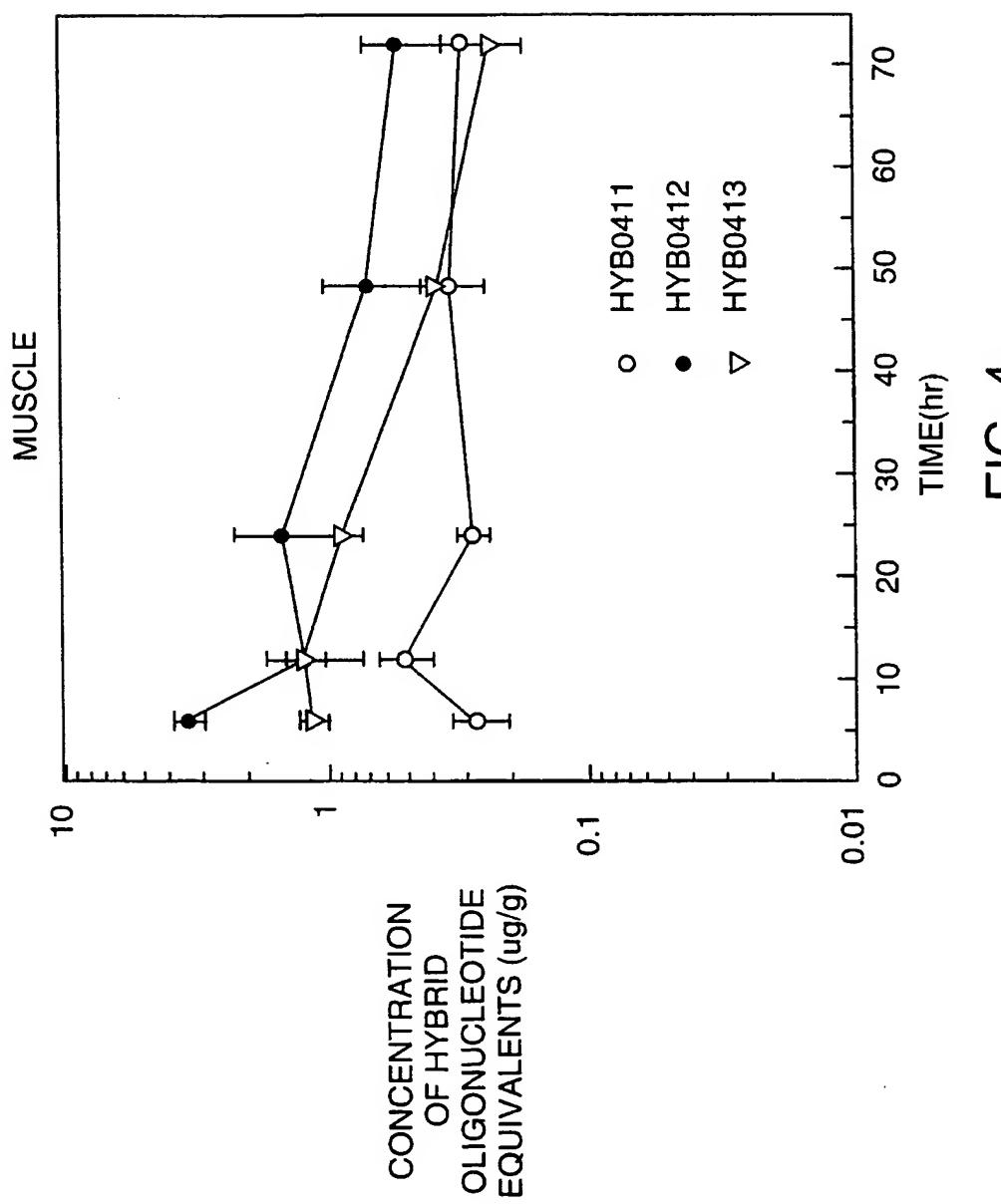


FIG. 4

7/14

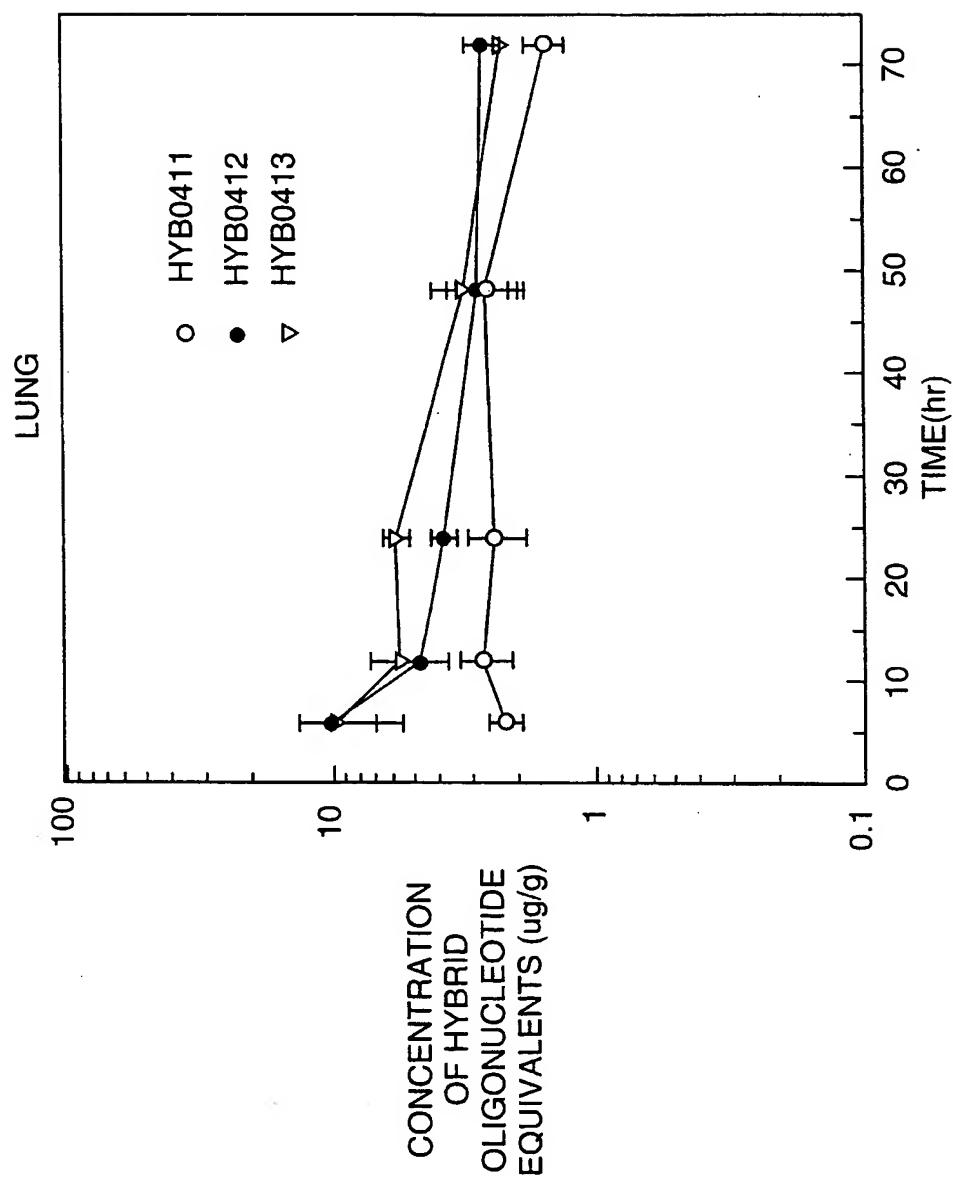


FIG. 5

8/14

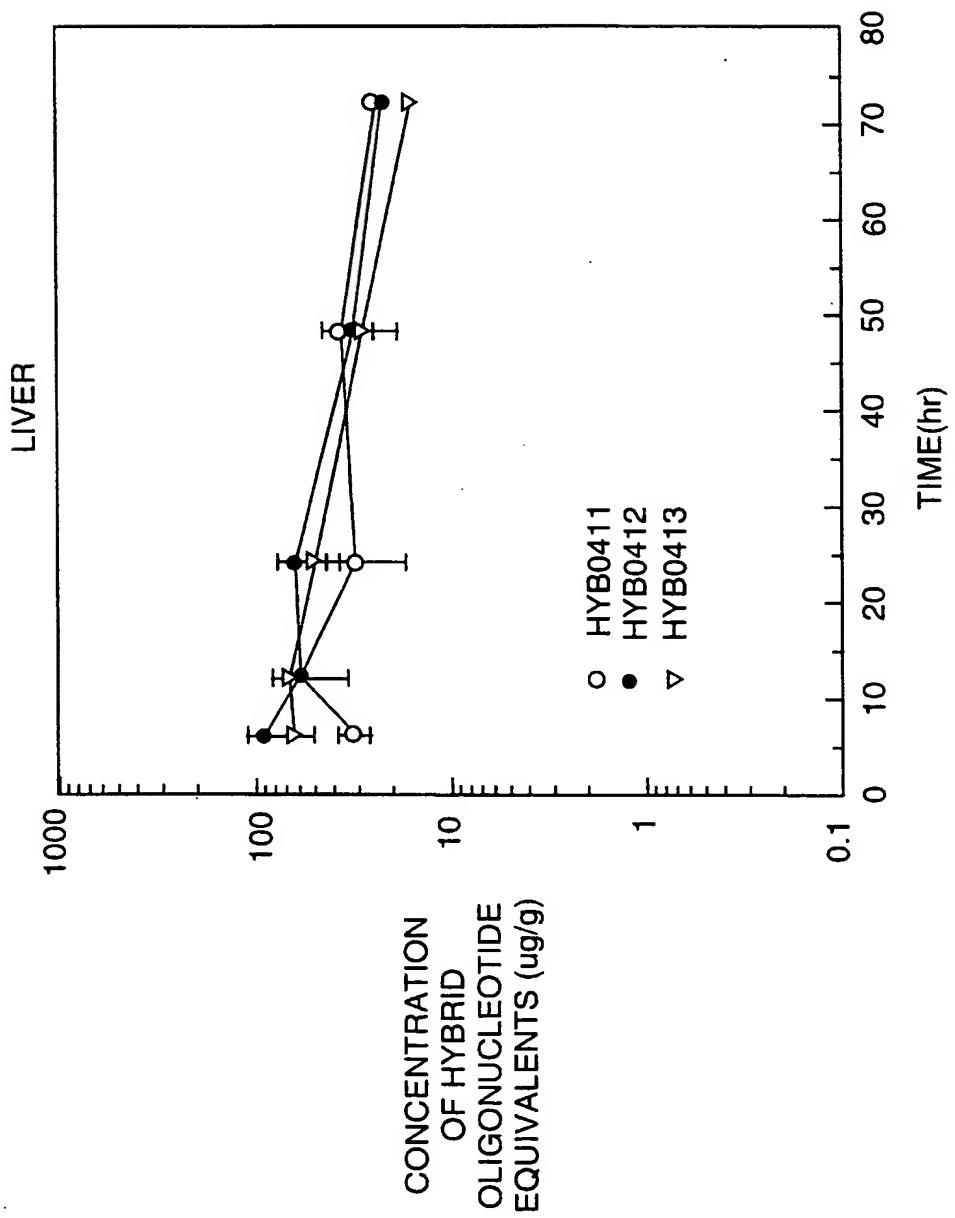


FIG. 6

9/14

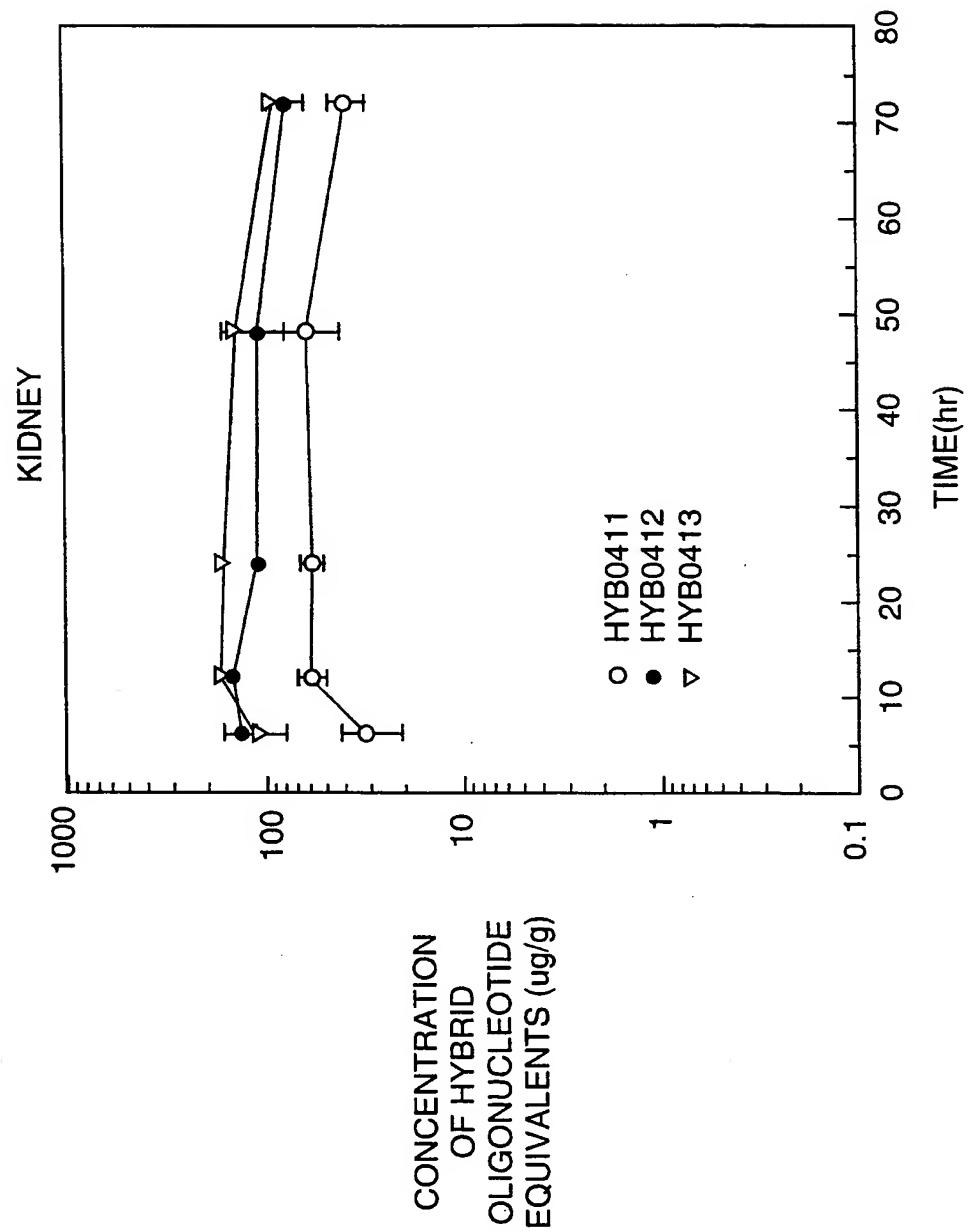


FIG. 7

10/14

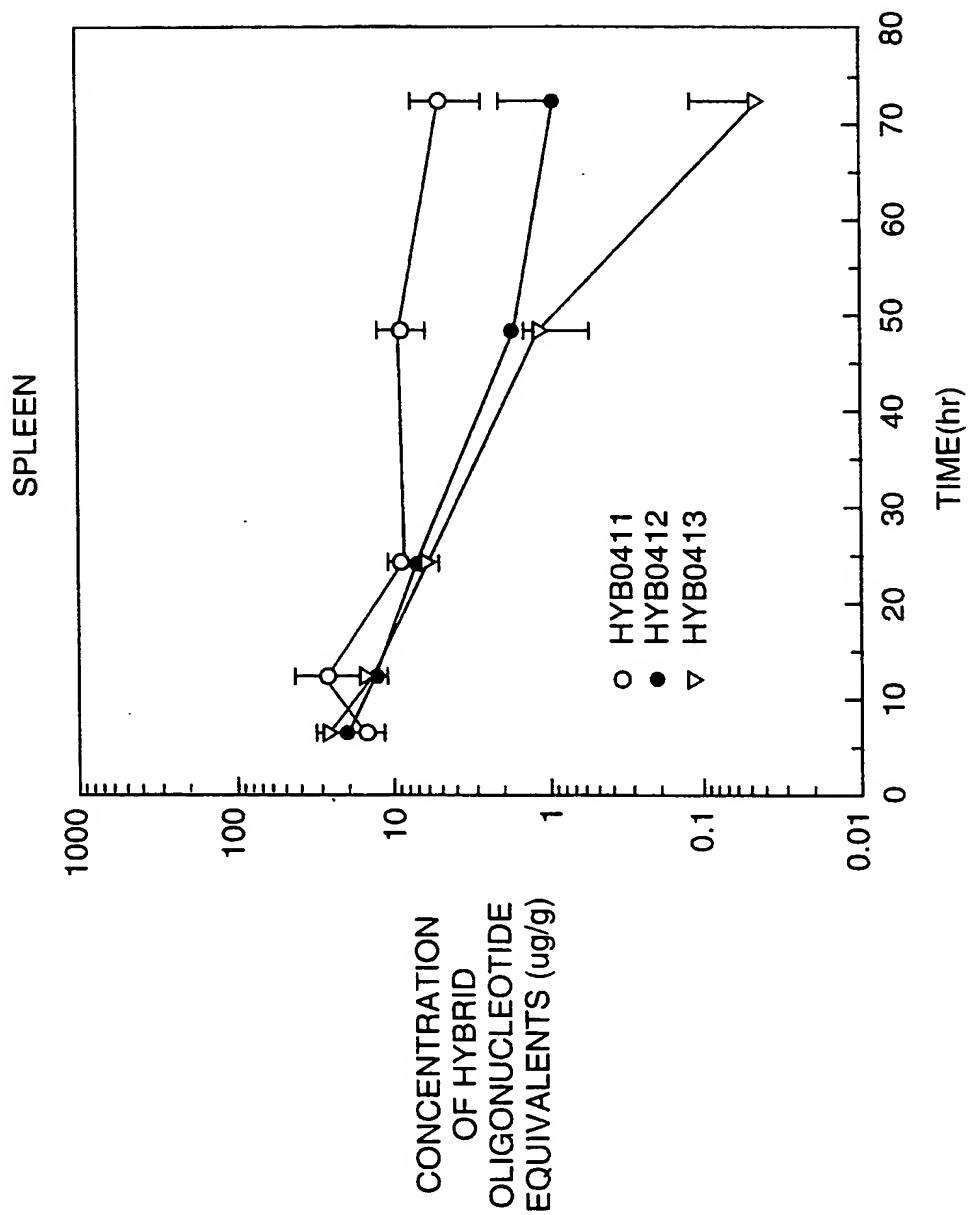


FIG. 8

11/14

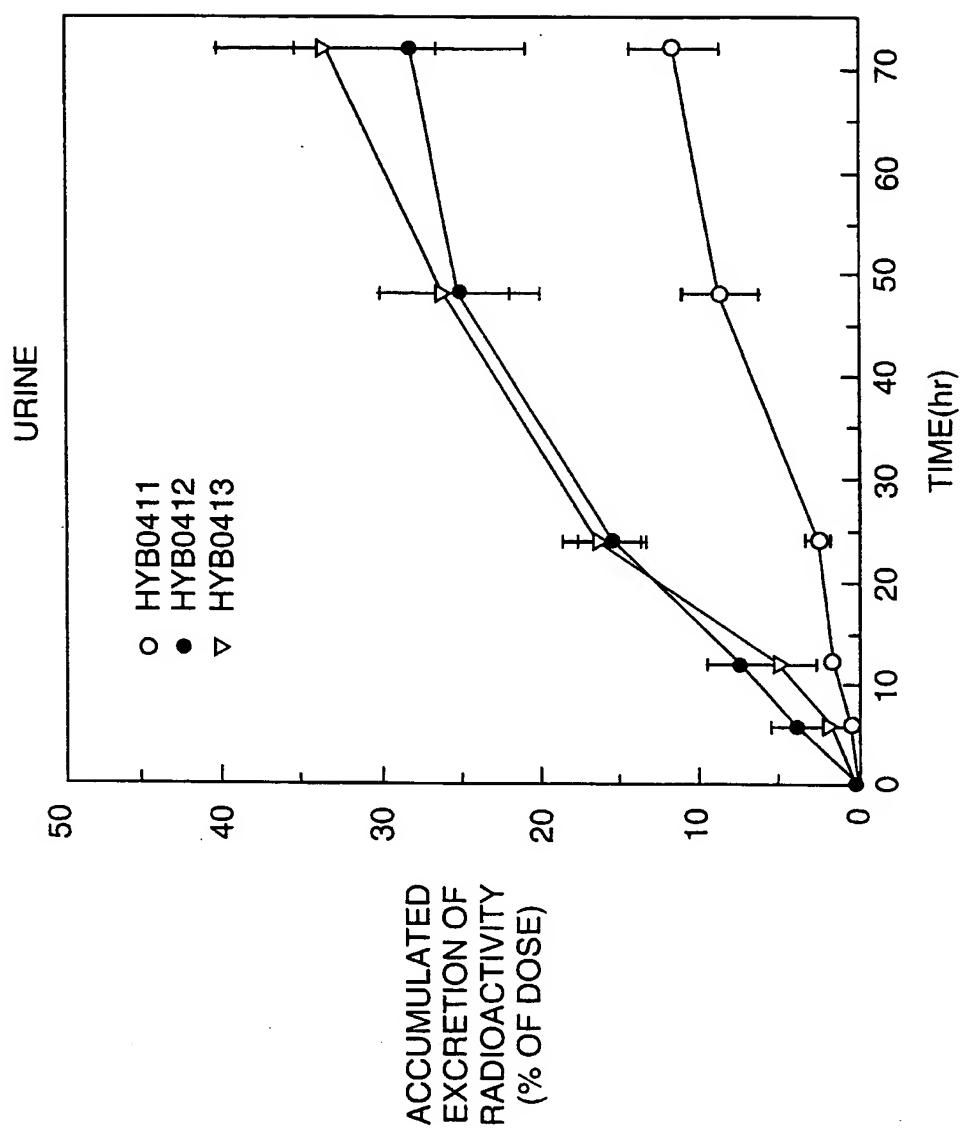


FIG. 9

12/14

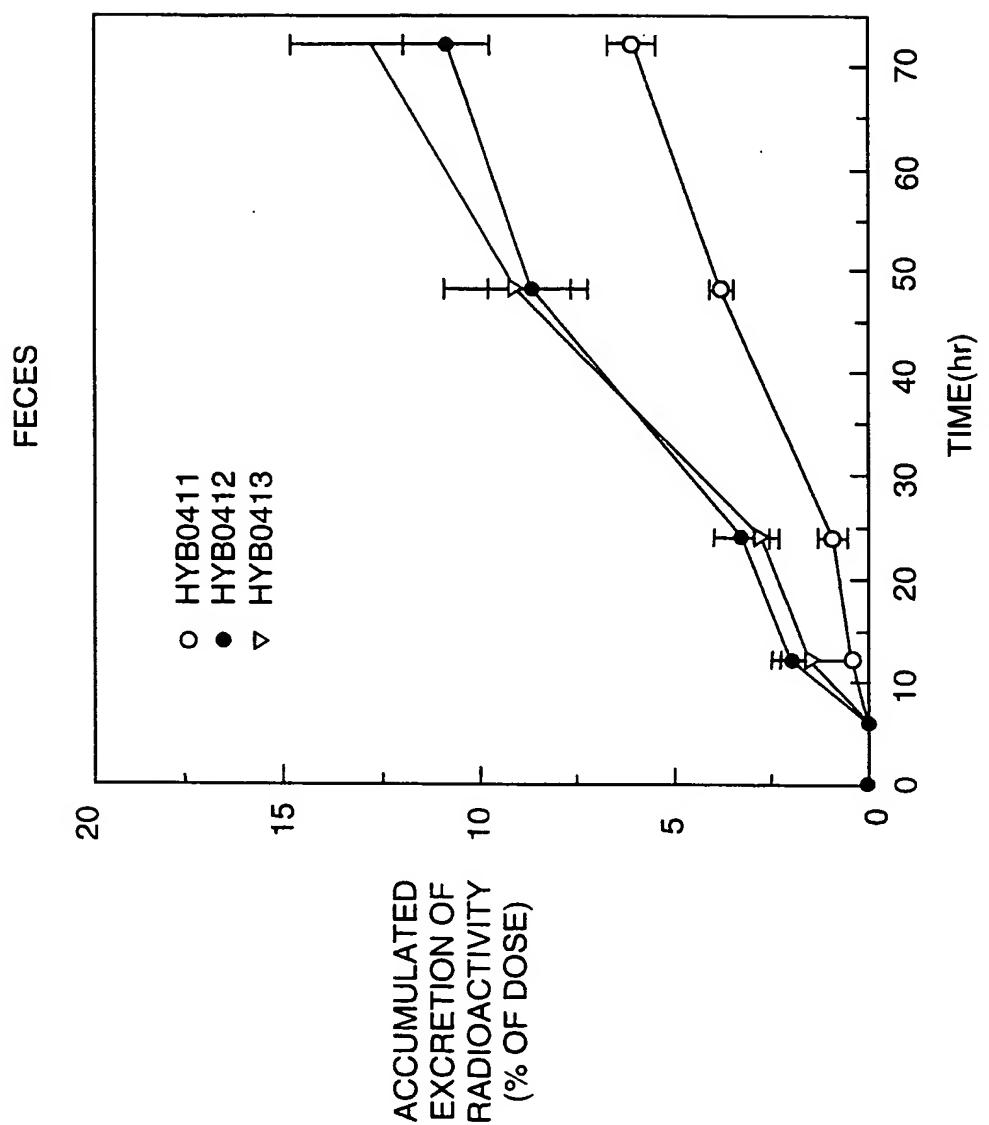


FIG. 10

13/14

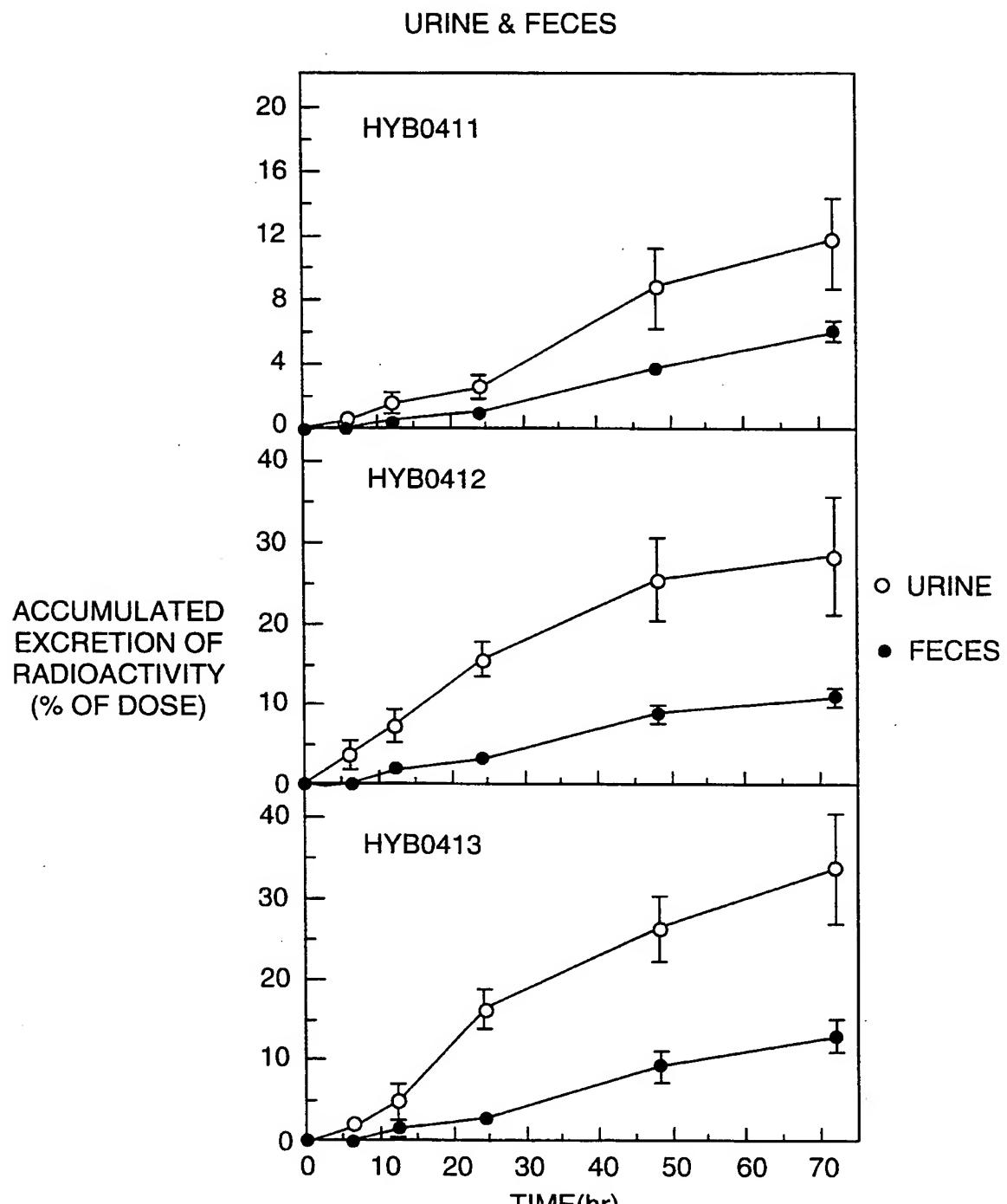


FIG. 11

14/14

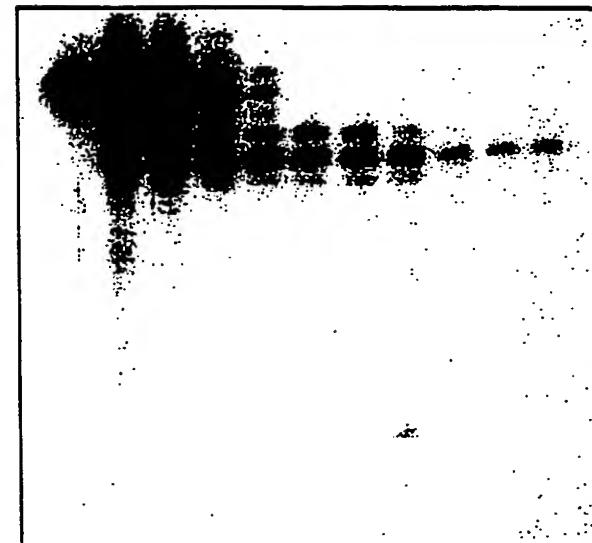
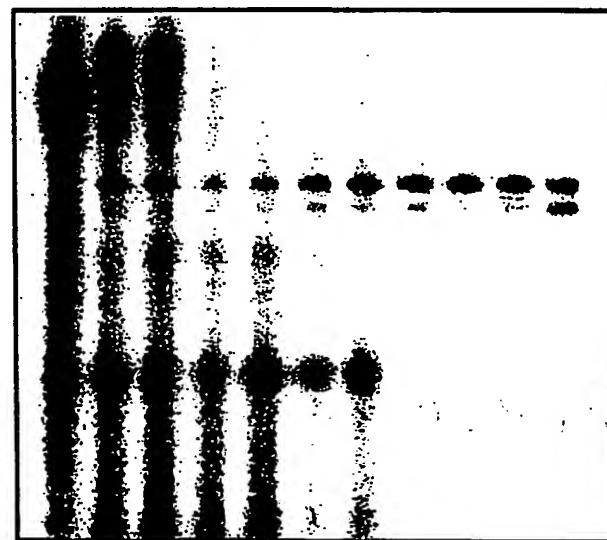
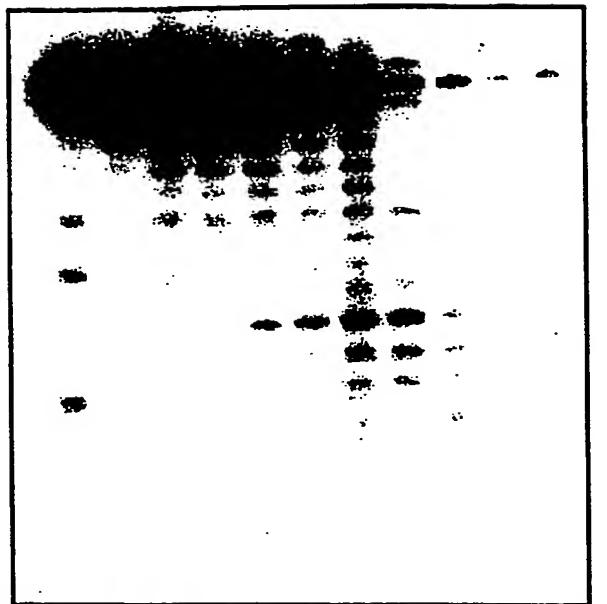


FIG. 12

SUBSTITUTE SHEET (RULE 26)

This Page Blank (uspto)

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

BLACK BORDERS

IMAGE CUT OFF AT TOP, BOTTOM OR SIDES

FADED TEXT OR DRAWING

BLURRED OR ILLEGIBLE TEXT OR DRAWING

SKEWED/SLANTED IMAGES

COLOR OR BLACK AND WHITE PHOTOGRAPHS

GRAY SCALE DOCUMENTS

LINES OR MARKS ON ORIGINAL DOCUMENT

REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

This Page Blank (uspto)